

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Borden Ladner Gervais LLP

- The Named Insured under the CLLAS policies is Borden Ladner Gervais LLP with (i) Borden & Elliot International and (ii) Borden DuMoulin Howard Gervais, a partnership of Borden & Elliot, Russell & DuMoulin, Howard Mackie, McMaster Gervais and George Cihra, which was operated in London, England being added as additional Named Insureds.
- On June 15, 1999, Borden & Elliot merged with Scott & Aylen of Ottawa. They were known as Borden Elliot Scott & Aylen in Ottawa and Borden & Elliot in Toronto.
- On March 1, 2000, Borden & Elliot merged with the firms Ladner Downs of Vancouver, Howard Mackie of Calgary, Borden Elliot Scott & Aylen of Ottawa and McMaster Gervais of Montreal and practised under the name of Borden Ladner Gervais LLP.
- On July 1, 2002, Borden Ladner Gervais LLP merged with Armstrong Perkins Hudson of Calgary and continues to practise under the same name.
- On January 1, 2008, Shortt, Hanbidge, Richardson & Welch merged with Borden Ladner Gervais LLP and continues to practise under the same name.
- The Firm now has offices in Toronto, Ottawa, Vancouver, Calgary and Montreal.
- Borden & Elliot had established a national association with Russell & DuMoulin of Vancouver, Howard Mackie and McMaster Gervais known as Borden DuMoulin Howard Gervais. The association was terminated on March 1, 2000.
- There were two associated partnerships operating in London, England:
 - Borden DuMoulin Howard Gervais which was a partnership of the four Canadian firms and George Cihra, counsel to the Firm. This partnership was dissolved but it is covered under the CLLAS policies for acts prior to March 2001.
 - Borden & Elliot International which was a partnership involving Borden & Elliot and George Cihra. Although this partnership was terminated in March 2001, it is covered under the CLLAS policies for acts prior to March 2001.
- Ladner Downs had established an international partnership with Osler, Hoskin & Harcourt of Toronto and Ogilvy Renault of Montreal which was known as Osler Renault Ladner. Ladner Downs withdrew from the partnership on December 31, 1993.
- There is an endorsement on the policy in respect of Borden DuMoulin Howard Gervais, Borden Elliot Scott & Aylen and Osler Renault Ladner to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms of Shortt, Hanbidge, Richardson & Welch namely Shortt, Hanbidge, Snider, Richardson & Welch and Shortt, Hanbidge & Snider.

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“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Cassels Brock & Blackwell LLP

- The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
- The Firm has offices in Toronto, Vancouver and Calgary.
- The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
- From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
- From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
- In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
- In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
- In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
- There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

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“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Davies Ward Phillips & Vineberg LLP

- The Named Insured under the CLLAS policies prior to February 1, 2001 was Davies, Ward & Beck LLP.
- On February 1, 2001, Davies, Ward & Beck LLP merged with Goodman Phillips & Vineberg of Montreal. Effective the same day, the Named Insured was amended to Davies Ward Phillips and Vineberg LLP. The firm has additional Named Insureds as follows:

Davies Ward Phillips & Vineberg LLP (an Ontario partnership)
Davies Ward Phillips & Vineberg S.E.N.C.R.L., s.r.l.
Davies Ward Phillips & Vineberg s.r.l.
Davies Ward Phillips & Vineberg LLP (a New York partnership)
Davies Ward Phillips & Vineberg
Davies, Ward & Beck LLP
Davies Ward & Beck
DWB Services Limited Partnership (Toronto Service Company)
DWPV Services Limited Partnership (Toronto Service Company)
DWB Management Limited (Toronto Service Company)
DWPV Management Limited (Toronto Service Company)
Davies Ward & Beck Foundation (Toronto based charitable foundation)
Davies Ward Phillips & Vineberg Foundation (Toronto based charitable foundation)
DWB Management Services Inc. (General partner of DWB Services Limited Partnership)
DWPV Management Services Inc. (General partner of DWPV Services Limited Partnership)
Goodman Phillips & Vineberg G.P.
Goodman Phillips & Vineberg S.E.N.C.
Phillips & Vineberg
Phillips & Vineberg, G.P.
Phillips & Vineberg S.E.N.C.
Phillips & Vineberg SA
Phillips & Vineberg Suisse
Goodman Phillips & Vineberg (a Quebec partnership)
Goodman Phillips & Vineberg (a partnership operating in Beijing, PRC)
Goodman Phillips & Vineberg (a New York partnership)
Goodman Freeman Phillips & Vineberg (a Quebec partnership)
GP&V Management Limited Partnership (Montreal Service Company)
DWPV (Québec) Management L.P.
Services GP&V Management Limited Partnership (Montreal Service Company)
Services DWPV (Québec) S.E.C.
Services GP&V SEC (Montreal Service Company)
Société en Commandite Services GP&V (Montreal Service Company)
GP&V Management Inc.
DWPV (Québec) Management Inc.

Gestion GP&V Inc. (General Partner of GP&V Management Limited Partnership)
Gestion DWPV (Québec) Inc.
P&V Management Ltd.
Gestion P&V Ltée
GP&V Foundation Inc. (Montreal based charitable foundation)
DWPV (Québec) Foundation Inc.
Foundation GP&V Inc. (Montreal based charitable foundation)
Fondation DWPV (Québec) Inc.
Obsjac Services Ltd.
Services Obsjac Ltée
Narbo Investment Corp. (nominee company used by Montreal office for certain confidential matters)

Coverage in respect of the above entities and related Insureds will extend to any liability they may have relating to the activities (i) prior to July 1, 2010, of Reinhart Marville Torre, a French law firm, previously operating as Davies Ward Phillips & Vineberg and, prior to February 1, 2001, as Goodman Phillips & Vineberg, (ii) prior to February 1, 2001, of Fong & Ng, (iii) prior to February 1, 2001, of Goodmans LLP and its predecessors, Goodman Phillips & Vineberg LLP, Goodman Phillips & Vineberg and Goodman & Goodman, all Ontario partnerships, (iv) of Goodman Phillips & Vineberg, the International Partnership, for the purposes of operating offices in Beijing, Hong Kong, New York, Paris, Singapore and Vancouver, (v) of Goodman Phillips & Vineberg, the national affiliation, (vi) of Goodman Phillips & Vineberg, the New York partnership, (vii) of Goodman Phillips & Vineberg, the Hong Kong partnership, (viii) of Goodman Phillips & Vineberg, the partnership operating in Beijing, PRC, (ix) of Goodman Phillips & Vineberg, the partnership operating in Vancouver and (x) of Goodman Phillips & Vineberg, the partnership operating in Singapore.

- Goodman Phillips & Vineberg of Montreal and Goodman Phillips & Vineberg of Toronto had an international partnership known as Goodman Phillips & Vineberg. The international partnership maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing.
- The international partnership dissolved on February 1, 2001 when Goodman Phillips & Vineberg of Montreal merged with Davies, Ward & Beck LLP.
- On February 1, 2001, Davies Ward Phillips & Vineberg LLP took over the New York and Beijing offices of the international partnership and now has offices in Toronto, Montreal, New York. The Beijing office was closed at the end of 2004.
- The Firm does not have an Associated Firm Endorsement. Instead, the exposures are handled through the endorsement listing additional Named Insureds.

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“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Fasken Martineau DuMoulin LLP

- The Named Insured under the CLLAS policies is Fasken Martineau DuMoulin LLP. There is an endorsement to the Primary Policy listing a number of additional Named Insureds.
- Fasken & Calvin and Campbell Godfrey & Lewtas merged on November 1, 1989 and practised under the name Fasken Campbell Godfrey.
- Fasken Campbell Godfrey had entered into a partnership with Martineau Walker of Montreal and Davis and Company of Vancouver known as Fasken Martineau Davis. Davis and Company later withdrew and the partnership continued under the name of Fasken Martineau.
- On September 1, 1999, Fasken Martineau (*“Canadian Partnership”*) formed a US subsidiary partnership also called Fasken Martineau (*“US Partnership”*) to carry out the practice of Canadian law from a New York office. The Firm commenced practising US law from both the Toronto and New York offices in 2004.
- On February 1, 2000, Fasken Campbell Godfrey merged with Martineau Walker and Russell & DuMoulin of Vancouver and practises under the name of Fasken Martineau DuMoulin LLP.
- After the merger, Fasken Martineau (*“US Partnership”*) and Fasken Martineau (*“Canadian Partnership”*) changed their names to Fasken Martineau DuMoulin LLP (*“US Partnership”*) and Fasken Martineau DuMoulin LLP (*“International Partnership”*) respectively. On February 1, 2007, Fasken Martineau DuMoulin LLP (*“International Partnership”*) changed its name to Fasken Martineau DuMoulin International LLP.
- The Firm now has offices in Toronto, Montreal, Ottawa, Quebec City, Vancouver and Calgary in Canada, London, England, and Johannesburg, South Africa. An office was opened in Yellowknife on November 4, 2002 and was closed on November 30, 2004. The New York office was closed on April 30, 2008 and Fasken Martineau DuMoulin LLP (*“US Partnership”*) was subsequently dissolved.
- The Johannesburg, South Africa office was established in 2003 by Fasken Martineau DuMoulin (Pty) Ltd., a subsidiary of Fasken Martineau DuMoulin International LLP, to practise both Canadian and U.K. law. The entity merged with Bell Dewar Inc. on February 1, 2013.
- Under the supervision of the Johannesburg office, a subsidiary company, LexEmpower Legal Consulting (Pty) Ltd. (formerly Capstone 686 (Proprietary) Limited) was structured to qualify under the “Black Economic Empowerment” legislation and provide corporate and legal advisor services commencing on or about July 1, 2004 practising both Canadian and U.K. law. This corporation has now ceased active business. The firm no longer has control of this entity and we understand that it is now under liquidation.
- Effective February 1, 2007, the name of Stringer Saul LLP, a UK limited liability partnership, was changed to Fasken Martineau Stringer Saul LLP, the partners resident in the Firm’s London, UK office and 2 partners resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin

International LLP. The name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP on September 11, 2008.

- Effective April 1, 2007, the firm opened an office in Ottawa, and the partners of Johnston & Buchan LLP joined the firm as partners of Fasken Martineau DuMoulin LLP.
- On September 1, 2009, the Paris firm Gravel, Leclerc & Associates S.E.L.A.S., a professional services corporation established under French law, merged with the Firm. Gravel, Leclerc was acquired by Fasken Martineau LLP and continued its practice under the name Fasken Martineau S.E.L.A.S. Certain lawyers of Gravel, Leclerc also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The Paris office was closed effective December 31, 2015.
- There is an endorsement on the policy recognizing the partnerships, associations and arrangements identified in this Appendix D and those in the attached addendum.
- Martineau Walker merged with Gagnon Lafleur & Associates in 1981 and Lazarovitz, Cannon, Lemelin, Rourke in 1983.
- Russell & DuMoulin merged with Lyall McKercher Hanna in 1989.
- On February 1, 2013, the South African firm of Bell Dewar Inc. (predeceased by Bell Dewar and Hall), a professional services firm established under South African law, merged with Fasken Martineau DuMoulin (Pty) Ltd. and continues its practice under the name Fasken Martineau. Certain lawyers of Bell Dewar Inc. also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP.

Coverage is provided to the predecessor firms namely, Fasken & Calvin; Campbell, Godfrey & Lewtas; Kitamura Green; Gagnon Lafleur & Associates; Lazarovitz, Cannon, Lemelin, Rourke; and Lyall McKercher Hanna. Coverage is also provided to the predecessor firms of Stringer Saul LLP namely, Day & Co. and PharmaLaw, to the predecessor firms of Johnston & Buchan LLP namely, Johnston & Buchan and Johnston, Buchan & Dalfen, to the predecessor firm of Gravel, Leclerc & Associates S.E.L.A.S., namely Gravel, Leclerc and Partners, and to the predecessor firm of Bell Dewar Inc. in South Africa, namely Bell Dewar and Hall.

FASKEN MARTINEAU DuMOULIN LLP

Addendum to Appendix D

Firms Included for the Purpose of Full Disclosure as at March 31, 2017 – See Comments Describing Relationship

Firms	Addresses	Comments On Relationships
<p>Fasken Martineau DuMoulin LLP</p> <p><i>www.fasken.com</i></p> <p>Toronto – Phone (416) 366-8381 Fax (416) 364-7813</p> <p>Montreal – Phone (514) 397-7400 Fax (514) 397-7600</p> <p>Quebec City – Phone (418) 640-2000 Fax (418) 647-2455</p> <p>Vancouver – Phone (604) 631-3131 Fax (604) 631-3232</p> <p>Calgary – Phone (403) 261-5350 Fax (403) 261-5351</p> <p>Ottawa – Phone (613) 236-3882 Fax (613) 230-6423</p> <p>London UK– Phone 011-44-20-7917-8500 Fax 011-44-20-7917-8555</p> <p>Johannesburg SA – Phone + 27 (11) 586-6000 Fax + 27 (11) 586-6104(5)</p>	<p>Locations: - <i>Toronto, Montreal, Ottawa, Quebec City, Calgary, Vancouver, London U.K. and Johannesburg S.A..</i></p> <p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p> <p>The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9</p> <p>140, Grande Allée Est, Suite 800 Québec, Quebec G1R 5M8</p> <p>550 Burrard Street, Suite 2900 Vancouver, B.C. V6C 0A3</p> <p>First Canadian Centre 350 7th Avenue SW, Suite 3400 Calgary, Alberta T2P 3N9</p> <p>55 Metcalfe Street, Suite 1300 Ottawa, ON K1P 6L5</p> <p>125 Old Broad Street, 15th floor London EC2N 1AR United Kingdom</p> <p>Inanda Greens, Building 2 54 Wierda Road West Sandton</p>	<p>An Ontario limited liability partnership formed on February 1, 2000 by partners of Fasken Campbell Godfrey, Martineau Walker and Russell & DuMoulin. (Designated internally as the “National” firm)</p>

<p>(A Paris office, formerly at 32 avenue de l'Opéra. 75002 Paris was closed on December 31, 2015.)</p> <p>(A Yellowknife office, formerly at Suite 702, Northwest Tower 5201 Franklin (50th) Avenue Yellowknife, NT X1A 3S9, was closed on November 1, 2004.)</p> <p>(A New York City office, formerly at 590 Madison Avenue 21st Floor New York, New York 10022, was closed on April 30, 2008.)</p>	<p>(Postal address) : P.O. Box 652057 Benmore 2010 South Africa</p>	
<p>Fasken Martineau DuMoulin International LLP (formerly Fasken Martineau DuMoulin LLP, which was designated internally as "International")</p>	<p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p>	<p>An Ontario limited liability partnership. It has a partnership interest in Fasken Martineau LLP and owns Fasken Martineau DuMoulin (Pty) Ltd.. Its partners are the Canadian equity partners of Fasken Martineau DuMoulin LLP, equity members of Fasken Martineau LLP and certain lawyers of Fasken Martineau DuMoulin (Pty) Ltd. and Bell Dewar Inc.</p>
<p>Fasken Martineau LLP (formerly Fasken Martineau Stringer Saul LLP) <i>www.fasken-martineau.com</i></p>	<p>125 Old Broad Street, 15th floor London EC2N 1AR United Kingdom</p>	<p>Fasken Martineau DuMoulin International LLP operated a London U.K. office from which it practiced Canadian law. On February 1, 2007 the name of Stringer Saul LLP, a limited liability partnership incorporated and registered in England and Wales, was changed to Fasken Martineau Stringer Saul LLP. Partners of Fasken Martineau DuMoulin International LLP who were resident in the London UK office and two partners of Fasken Martineau DuMoulin LLP resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity</p>

		members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and Fasken Martineau DuMoulin International LLP. Subsequently, the name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP.
Johnson & Buchan LLP	55 Metcalfe Street, Suite 1300 Ottawa, Ontario K1P 6L5	On April 1, 2007 the partners of Johnson & Buchan LLP became partners of Fasken Martineau DuMoulin LLP and of Fasken Martineau DuMoulin International LLP.
Fasken Martineau S.E.L.A.S. (formerly Gravel, Leclerc & Associés S.E.L.A.S.) <i>(inactive)</i>	32 avenue de l'Opéra 75002 Paris	Fasken Martineau S.E.L.A.S. is a corporation incorporated in France that is authorized to practice law. Formerly Gravel, Leclerc & Associés S.E.L.A.S., it carried on its practice under the name Fasken Martineau between September 1, 2009 and December 31, 2015, when it ceased operations.
Fasken Martineau DuMoulin LLP (formerly Fasken Martineau) <i>(dissolved)</i> <i>www.fasken-martineau.com</i>	590 Madison Avenue 21 st Floor New York, New York 10022	Fasken Martineau DuMoulin LLP, a New York limited liability partnership (designated internally as the "U.S." firm) operated a New York City office from which it practiced Canadian law. The New York office was closed on April 30, 2008 and the U.S. firm has been dissolved.
Fasken Martineau DuMoulin (Pty) Ltd. <i>www.fasken-martineau.com</i>	Inanda Greens, Building 2 54 Wierda Road West Sandton (Postal address) : P.O. Box 652057 Benmore 2010 South Africa	Fasken Martineau DuMoulin (Pty) Ltd., a South African limited liability company, established the Johannesburg office opened in November 2003 to practice Canadian and UK law.
LexEmpower Legal Consulting (Pty) Ltd. <i>(inactive/in liquidation)</i>		LexEmpower Legal Consulting (Pty) Ltd. was a South African limited company structured to qualify under the "Black Economic Empowerment" legislation and operate an office in Johannesburg to practice UK law. It is inactive. We no longer control of this entity and understand that it is in liquidation.

Fasken Campbell Godfrey <i>(inactive)</i> <i>www.fasken.com</i>	Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6	The Ontario partnership that operated the Toronto office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Bell Dewar Inc.	Inanda Greens, Building 2 54 Wierda Road West Sandton Postal Address P O Box 652057 Benmore 2010 South Africa	As of February 1 st , 2013 the firm of Bell Dewar Inc. merged with Fasken Martineau DuMoulin (Pty) Ltd in South Africa and continued its practice under the name Fasken Martineau.
Martineau Walker <i>(inactive)</i> <i>www.martineau-walker.com</i>	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9 140, Grande Allée Est, Suite 800 Québec, Quebec G1R 5M8	The Quebec partnership that operated the Montreal and Quebec City offices practices prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Russell & DuMoulin <i>(inactive)</i> <i>www.rdcounsel.com</i>	550 Burrard Street, Suite 2900 Vancouver, B.C. V6C 0A3	The B.C. partnership that operated the Vancouver office practice prior to the formation of Fasken Martineau DuMoulin LLP in 2000. After that time, its continuing practice was limited to client files that could not be transferred due to conflict issues.
Carter & Associates <i>www.carterslawfirm.com</i> <i>www.charitylaw.ca</i>	Box 440 211 Broadway Orangeville, Ontario L9W 1K4	We have a formal but non-partnership affiliation with Carter & Associates for the purposes of client referrals, business development and practice support. Terrance S. Carter is a counsel to Fasken Martineau DuMoulin LLP.
Hacker Gignac Rice <i>www.hgr.ca</i>	518 Yonge Street Midland, Ontario L2R 2C5	We had a formal but non-partnership affiliation with Hacker Gignac Rice for the purposes of client referrals, business development and practice support. This affiliation was terminated on

		December 31, 2006.
Sims Clement Eastman <i>www.sce.com</i> <i>(relationship terminated April 1, 2001)</i>	700-22 Frederick Street P.O. Box 578, Station C Kitchener, Ontario N2G 4A2	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2001.
Cohen Highley Vogel & Dawson <i>(relationship terminated December 1, 2000)</i>	1 London Place 11 th Floor 255 Queen's Avenue London, Ontario N6A 5R8	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2000.
Lockington, Lawless, Fitzpatrick <i>(relationship terminated December 31, 2006)</i> <i>www.locklaw.ca</i>	332 Aylmer Street, North P.O. Box 1146, Station Main Peterborough, Ontario K9J 7H4	We had a formal but non-partnership affiliation with this firm for the purposes of client referrals, business development and practice support that terminated in 2006.
Goudreau Gage Dubuc (formerly Goudreau Gage Dubuc & Martineau Walker) <i>(association terminated January 1, 2007)</i> <i>www.martineau-walker.com</i>	The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9 <i>(address during relationship)</i>	A Montreal patent and trademark firm, whose members include lawyers, that operated from the premises of Martineau Walker (subsequently Fasken Martineau DuMoulin LLP) under a formal non-partnership association that terminated in 2007.
Berardino & Harris	Suite 14 1075 West Georgia Street Vancouver, British Columbia V6E 3C9	Berardino & Harris (B&H) was a partnership of some former partners of Russell & DuMoulin. B&H handled certain matters previously handled by Russell & DuMoulin. In some instances B&H became the solicitors of record on existing matters, while on others Fasken Martineau DuMoulin LLP became the solicitors of record with B&H acting as counsel. B&H was a fully independent firm.
Perkins Coie LLP <i>www.perkinscoie.com</i>	1201 Third Avenue 40 th Floor Seattle, Washington U.S.A. 98101-3098 <i>(offices in: Anchorage; Beijing; Bellevue; Boise; Chicago; Denver; Hong Kong; Los Angeles; Menlo</i>	Russell & DuMoulin and Perkins Coie for several years maintained an informal strategic alliance solely for the purpose of a non-exclusive referral arrangement, not referred to as an association. This arrangement continues between Fasken Martineau DuMoulin LLP and Perkins Coie.

	<i>Park; Olympia; Portland; San Francisco; Seattle and Washington, D.C.)</i>	
Vincent T. K. Cheung, Yap & Co. <i>(relationship terminated January 31, 2000)</i>	15th Floor Alexandra House 16-20 Chater Road Hong Kong	A former Hong Kong representative under an informal relationship that was terminated in 2000.
Dr. Dongdong Huang <i>(relationship terminated June 24, 2002)</i>	Vancouver office address	A lawyer qualified in B.C. who conducted his practice from the Vancouver premises of Fasken Martineau DuMoulin LLP under a Memorandum of Understanding intended to promote China business development and provide support services without being a partnership, an association or and employee/employer relationship. This arrangement was terminated in 2002.
Pan Pacific Law Offices/ Weston Pan Pacific Consulting Ltd/ John D. Weston <i>(relationship terminated August 28, 2000)</i>	Suite 1466 144 Min Chuan East Road Section 3 Taipei, Taiwan 1850 South West Marine Drive Vancouver, British Columbia V6P 6B2	A Taiwan law firm/ a Hong Kong company registered in Taiwan as an extra-territorial company/ a B.C. lawyer, founder and beneficial owner of both. A formal association agreement existed with Russell & DuMoulin and for a time with Fasken Martineau DuMoulin LLP. The arrangement was terminated in 2000.
Orange Chari Pillay (formerly Orange & Associates and formerly John R. S. Orange, Patent and Trademark Agent) <i>(relationship terminated in 2000)</i>	Toronto Dominion Bank Tower 46.C. Box 190 Toronto-Dominion Centre Toronto, Ontario M5K 1H6 <i>(address during relationship)</i>	Under a Patent Agency Consultancy Agreement dated January 18, 1996, Orange Chari Pillay ("OCP") provided patent agency services at the premises of Fasken Martineau DuMoulin LLP (previously the premises of Fasken Campbell Godfrey) on his/their behalf and in association with Fasken Martineau DuMoulin LLP (previously Fasken Campbell Godfrey). FMD Ontario Services Limited Partnership (and previously Fasken Campbell Godfrey Inc.) sublet premises and provided other management services to OCP. In early 2000 OCP provided notice under the agreement to exercise its termination rights and it left the premises of Fasken Martineau DuMoulin LLP on April 20, 2000.

Various U.S. firms	Various	From time to time Fasken Martineau DuMoulin LLP has, or has had, informal arrangements with a small number of U.S. firms the objective of which is to foster relationships that may lead to cross-border referrals, such as introducing each other's partners in similar practice areas to one another, attempting to organize joint presentations to each other's clients, jointly authoring articles on particular areas of law from Canadian and US perspectives, and the like. These relationships involve no revenue sharing or referral fees and are even more informal than the arrangement with Perkins Coie LLP described above.
FMD Partners, G.P. / FMD et Associes, S.E.N.C.	<p>Bay Adelaide Centre 333 Bay Street, Suite 2400 P.O. Box 20 Toronto, Ontario M5H 2T6</p> <p>The Stock Exchange Tower 800 Victoria Square, Suite 3700 P.O. Box 242 Montreal, Quebec H4Z 1E9</p>	FMD Partners, G.P. / FMD et Associes, S.E.N.C. is an Ontario general partnership, the members of which are FMD National Inc., Alexandre Abecassis, Isabelle Chabot, Raymond Chretien and Serge Lapointe. The partnership provides trade mark and patent agent services and other consulting services primarily to the Quebec offices of Fasken Martineau DuMoulin LLP.

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“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Goodmans LLP

- The Named Insured under the CLLAS policies prior to January 1, 2001 was Goodman Phillips & Vineberg, formerly Goodman & Goodman, a partnership constituted under the laws of Ontario.
- The Firm had changed its name from Goodman & Goodman to Goodman Phillips & Vineberg effective March 6, 1995 and practised under that name from its office in Toronto until January 1, 2001.
- Phillips & Vineberg of Montreal also practised under the name of Goodman Phillips & Vineberg for the same period.
- These two firms had entered into an arrangement with Freeman & Company of Vancouver in 1989 and formed an international partnership and national affiliation known as Goodman Freeman Phillips & Vineberg. In 1993, Freeman & Company dissolved and the partnership continued to operate under the name of Goodman Phillips & Vineberg.
- Goodman Phillips & Vineberg (Ontario) and Goodman Phillips & Vineberg (Montreal) maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing. Vancouver and Hong Kong each have local partners. Exposures not covered by the Associated Firm Endorsement for Goodman Phillips & Vineberg (Ontario) were covered by the policies arranged for Goodman Phillips & Vineberg (Montreal), e.g. the New York office.
- Effective January 1, 2001, the Named Insured was amended to Goodmans LLP, a partnership constituted under the laws of Ontario with (i) Goodmans, a partnership constituted under the laws of British Columbia, and (ii) Goodmans, a partnership registered under the laws of Hong Kong and their predecessor firms being added as Additional Named Insureds.
- Effective January 1, 2001, Fong & Ng (former associated firm of the international partnership in Hong Kong) is being recognized as an associated firm of the umbrella firm Goodmans, a partnership registered under the laws of Hong Kong.
- On February 1, 2001, Goodman Phillips & Vineberg in Montreal merged with Davies, Ward & Beck and operates under the name of Davies Ward Phillips & Vineberg LLP. The international partnership dissolved on the same day.
- On May 10, 2001, Goodman Phillips & Vineberg LLP, upon receipt of permission from the Law Society of Hong Kong, changed its name to Goodmans LLP.
- On December 31, 2005, Goodmans' Hong Kong office was closed.
- The Firm currently has an office in Toronto. All the lawyers in the Vancouver office joined MacPherson Leslie & Tyerman LLP on January 1, 2015 and that office closed on the same date.
- There is an endorsement on the policy in respect of: a) the previous international partnerships and national affiliations; and b) Goodmans, a partnership registered under the laws of Hong Kong, to cover possible claims relating to the period during which they were in operation.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP

- The Named Insureds under the CLLAS policies are McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors and McCarthy Tétrault (New York) LLP.
- McCarthy & McCarthy merged in 1989 with two Canadian firms, Black & Company of Calgary and Shrum, Liddle & Heberton of Vancouver, and the combined firm merged with Clarkson Tétrault of Montréal in 1990 and all offices practised under the name of McCarthy Tétrault. These mergers were accomplished by the partners of Black & Company, Shrum Liddle & Heberton and Clarkson Tétrault respectively joining McCarthy & McCarthy and by McCarthy & McCarthy changing its name to McCarthy Tétrault.
- The Firm has Canadian offices in Toronto, Montréal, Québec City, Calgary and Vancouver. The Firm closed its Ottawa office in September 2010.
- On January 1, 1999, McCarthy Tétrault established a partnership in London, England for the practice of English law. The partnership is known as McCarthy Tétrault, Registered Foreign Lawyers and Solicitors. This partnership practises English and Canadian law.
- In 2000, McCarthy Tétrault established an office in New York in the premises of the New York law firm Fried, Frank, Harris, Shriver & Jacobson LLP. In 2004, McCarthy Tétrault LLP established McCarthy Tétrault (New York) LLP to carry on the business of its New York office. Subsequently, the New York office was closed.
- Effective January 1, 2017, McCarthy Tétrault LLP acquired the business of Susan Wortzman Professional Corporation and Susan Wortzman became a partner of the firm.
- Coverage is provided to the predecessor firms to McCarthy Tétrault, namely, McCarthy & McCarthy, Black & Company, Shrum, Liddle & Heberton, Clarkson Tétrault and Wortzman Professional Corporation.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: McMillan LLP

- The Named Insureds under the CLLAS policies are McMillan LLP; McMillan S.E.N.C.R.L., s.r.l.; McMillan Binch Mendelsohn LLP; McMillan Binch LLP; Mendelsohn s.e.n.c.
- The Firm has offices in Toronto, Ottawa, Vancouver, Calgary, Montreal and Hong Kong.
- On May 1, 2005, McMillan Binch LLP merged with Mendelsohn s.e.n.c. to form McMillan Binch Mendelsohn LLP.
- On May 28, 2008, McMillan Binch Mendelsohn LLP changed its registered business name to McMillan LLP and McMillan S.E.N.C.R.L., s.r.l. in Quebec.
- On May 1, 2009, McMillan LLP completed a transaction with Thackray Burgess Professional Corporation of Calgary where the Firm employed certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at the Firm. While the two firms were not formally merging, for the purpose of insurance, CLLAS considers this as a merger and recognizes Thackray Burgess as a predecessor firm.
- On January 1, 2011, McMillan LLP and Lang Michener LLP merged and operates under the name McMillan LLP.
- McMillan Binch LLP had formed a national association with two other law firms, Bull, Housser & Tupper of Vancouver and Surrey and Byers Casgrain of Montreal. The national association brought the three firms together for the purpose of providing enhanced levels of service to clients in Canada.
- McMillan Binch LLP also formed an international partnership with the same two law firms in 1990. This international partnership was known as McMillan Bull Casgrain and was formed for the purpose of practising Canadian law outside Canada.
- In 1993, McMillan Bull Casgrain entered into an association with the Hong Kong firm of Robert W.H. Wang & Co. The association was later terminated.
- The McMillan Bull Casgrain association ended on January 31, 2000.
- There is an endorsement on the policy with respect to McMillan Bull Casgrain to cover possible claims relating to the period during which the partnership was in operation.
- Coverage is provided to Thackray Burgess Professional Corporation and its predecessor firms, namely Blanie & Company and Evans Higa Burgess.
- Coverage is also provided to Lang Michener LLP and its predecessor firms, namely Lang Michener; Lang Michener Lawrence & Shaw; Lang Michener Honeywell Wotherspoon; Lang Michener Lash Johnston; Smith, Shaver; Honeywell, Wotherspoon; Lawrence & Shaw; Lash, Johnston; Lang Michener Cranston Farquharson & Wright and Robertson Ward Suderman.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Osler, Hoskin & Harcourt LLP

- The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured.
- Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
- The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name).
- The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
- Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault.
- Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault.
- Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
- There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Torys LLP

- The Named Insured under the CLLAS policies is Torys LLP.
- Tory Tory DesLauriers & Binnington merged with the New York firm of Haythe & Curley on October 1, 1999 and initially practised under the name of Tory Haythe. The name Tory Haythe was changed to Torys on January 7, 2000. Although Torys (Toronto, Calgary and Halifax), Torys (Montreal) and Torys (New York) practise as one firm from an operational standpoint, they are three separate partnerships legally.
- Tory Tory DesLauriers & Binnington used to have an office in London, England. It was closed in May 1999.
- Haythe & Curley used to have an office in London, England. It was closed in December 1999.
- The Firm used to have an office in Beijing but it was transferred to another law firm on August 1, 2002.
- The Firm now has offices in Toronto, Calgary, Montreal, Halifax and New York.
- Tory Tory DesLauriers & Binnington formerly had an international partnership, known as Tory Ducharme Lawson Lundell, with Desjardins Ducharme Stein Monast of Montreal and Lawson Lundell Lawson & MacIntosh of Vancouver. This partnership operated an office in Hong Kong. The partnership was terminated in 1994. The Hong Kong office then became an office of Tory Tory DesLauriers & Binnington until it was closed in January 1997.
- There is an endorsement on the policy with respect to Tory Ducharme Lawson Lundell to cover possible claims relating to the period during which the international partnership was in operation.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: WeirFoulds LLP

- The Named Insured under the CLLAS policies was changed from Weir & Foulds to WeirFoulds LLP effective March 1, 2001.
- The Firm merged with Davis, Webb, Schulze & Tinsley on February 1, 1988 but the merger was dissolved on February 1, 1990.
- The Firm merged with Townsend and Associates on September 30, 2013.
- The Firm has offices in Toronto and Oakville.
- The Firm has no association or partnership with any other firms.
- Coverage is also provided to the predecessor firms, namely a) Davis, Webb, Schulze & Tinsley; b) Townsend and Associates; c) Townsend Rogers; and d) Lynda J. Townsend Law Office. c) and d) are predecessor firms of Townsend and Associates.